

Tutorial #5 : what is \mathbb{R} ?

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What is a real number? This question is not really well-posed. A better question: what is the system of real numbers? What kind of thing is it?

We say: it is a topological abelian group containing \mathbb{Q} and in which all Cauchy sequences of rational numbers converge to a unique limit.

(Your fundamental understanding of what \mathbb{R} is can't hinge on a concept which pre-supposes the existence of \mathbb{R} ! So metric spaces are a bit awkward here).

Defⁿ A sequence $(x_n)_{n=0}^{\infty}$ in a topological space X converges to $x \in X$ if for every open neighborhood U of x there exists $N > 0$ with $x_n \in U$ for all $n \geq N$.

Defⁿ A sequence $(x_n)_{n=0}^{\infty}$ in a topological abelian group A is Cauchy if for every open neighborhood U of 0 there exists $N > 0$ with $x_m - x_n \in U$ whenever $m, n \geq N$. We call A complete if every Cauchy sequence in A converges.

[Q1] Prove that if $f: G \rightarrow H$ is a homomorphism of topological abelian groups and $(x_n)_{n=0}^{\infty}$ is Cauchy in G then $(fx_n)_{n=0}^{\infty}$ is Cauchy in H .

[Q2] Prove that a sequence in a Hausdorff space converges to at most one point.
(a top. group is Hausdorff $\iff \{0\}$ is closed, see Ex. 11-11(ii))

[Q3] Two Cauchy sequences $(x_n)_{n=0}^{\infty}, (y_n)_{n=0}^{\infty}$ are equivalent if $(x_n - y_n)_{n=0}^{\infty}$ converges to zero. Prove this is an equivalence relation on the set of Cauchy sequences in a topological abelian group A .

We will eventually define \mathbb{R} to be the set \mathbb{Q}^c of Cauchy sequences in \mathbb{Q} mod this relⁿ.

The real numbers should be a Hausdorff topological abelian group which is complete and contains \mathbb{Q} as a dense subset.

Defⁿ A real number system is a pair consisting of a complete Hausdorff topological abelian group $(\mathcal{R}, +, 0)$ and an injective homomorphism of topological groups

$$(\mathbb{Q}, +, 0) \xrightarrow{f} (\mathcal{R}, +, 0)$$

with the property that the smallest closed subset of \mathcal{R} containing $f(\mathbb{Q})$ is \mathcal{R} itself, and f induces a homeomorphism $\mathbb{Q} \xrightarrow{\cong} f(\mathbb{Q})$.

We prove there is (up to isomorphism) exactly one real number system (that's \mathbb{R} !)

Note that completeness means any decimal expansion $0.a_1a_2a_3\cdots$ which can be viewed as a Cauchy sequence $\frac{a_1}{10}, \frac{a_1}{10} + \frac{a_2}{100}, \dots$ in \mathbb{Q} , determines a unique (by Hausdorffness) element of \mathcal{R} .

[Q4] (Uniqueness) If $(\mathcal{R}', +, 0)$ together with f' is another real number system prove there is a unique homomorphism of topological abelian groups g making the diagram

$$\begin{array}{ccc} (\mathbb{Q}, +, 0) & \xrightarrow{f} & (\mathcal{R}, +, 0) \\ & \searrow f' & \downarrow g \\ & & (\mathcal{R}', +, 0) \end{array}$$

commute, and that this unique map is an isomorphism.

We call this unique thing \mathbb{R}

(well, we still have to prove a real number system exists)

Q5 (Existence) Let A be a topological abelian group, A^c the set of Cauchy sequences modulo equivalence. Given $U \subseteq A$ open we say a Cauchy sequence $(x_n)_{n=0}^\infty$ is eventually in U if there exists $N > 0$ such that $\forall n \geq N$ we have $x_n \in U$. A Cauchy sequence $(x_n)_{n=0}^\infty$ is stably eventually in U if every Cauchy sequence equivalent to $(x_n)_{n=0}^\infty$ is eventually in U . Define a subset $s(U) \subseteq A^c$ by

$$s(U) := \{ [(x_n)_{n=0}^\infty] \mid (x_n)_{n=0}^\infty \text{ is stably eventually in } U \}$$

Prove that

$$(i) \quad s(\emptyset) = \emptyset, \quad s(A) = A^c$$

$$(ii) \quad s(U \cap V) = s(U) \cap s(V)$$

$$(iii) \quad s\left(\bigcup_{i \in I} U_i\right) = \bigcup_{i \in I} s(U_i) \quad \text{I any index set.}$$

Hence $\{s(U) \mid U \subseteq A \text{ open}\}$ is a topology on A^c .

Note To see the point of the "stably", consider $U = \{q \in \mathbb{Q} \mid q < \pi\}$ and two Cauchy sequences converging to π , one from above and one from below. We don't want $\pi \in s(U)$ (so to speak), as $s(U)$ should be $(-\infty, \pi)$ not $(-\infty, \pi]$.

You may take for granted that A^c becomes an abelian group with the operation $[(x_n)] + [(y_n)] = [(x_n + y_n)]$, I hope you've seen this elsewhere.

Q6^{*} A^c as defined above is a topological abelian group.

Hints If $x, y \in \mathbb{Q}$ and $x + y \in U$ then $0 \in -x - y + U$. Prove that if W is any open neighborhood of the zero element there is another open neighborhood V of 0 with $V + V \subseteq W$.

This is already (way) more than enough for a tutorial. But here are the remaining things to be checked. We now know \mathbb{Q}^c is a topological abelian group. We need to show:

- \mathbb{Q}^c is Hausdorff (use Ex L11-11).
- \mathbb{Q}^c is complete (approximate any Cauchy seq. in \mathbb{Q}^c by one in \mathbb{Q}).
- \mathbb{Q}^c contains \mathbb{Q} as a dense subset (easy)

This shows \mathbb{Q}^c is a real number system, and we already know there is (at most) one such thing up to isomorphism, so we are entitled to give this thing a name and care about it.