

All Partial Recursive Functions are λ -Definable

"Lectures on the Curry-Howard Isomorphism," pp 19-21, 366-67.

We want to prove a correspondence between programs and proofs. We will use the λ -calculus, so we need to prove that it's a good model of computation. So in this talk, I'll prove that all partial recursive functions are λ -definable.

Outline:

- ① Examples of encodings in the λ -calculus.
- ② Partial recursive functions.
- * ③ Church numerals and λ -definability.
- ④ Proposition 1.9.4 and Theorem 1.9.5.

① Examples of encodings in the λ -calculus

** Definition: (One of the key definitions)

β -equivalence, $=_\beta$, is the smallest equivalence relation on the set of λ -terms such that

- i) $(\lambda x. P) Q =_\beta P[x := Q]$
- ii) If $M =_\beta N$ then $\lambda x. M =_\beta \lambda x. N$ for all variables x .
- iii) If $M =_\beta N$ then $M Z =_\beta N Z$ and $Z M =_\beta Z N$

Equivalently, $\# =_\beta$ is the smallest equivalence relation containing $\rightarrow_\beta$ (see last week).

ordered pair: $\langle M, N \rangle = \lambda x. x M N$

projections: $\pi_1 = \lambda p. p (\lambda x y. x)$

$\pi_2 = \lambda p. p (\lambda x y. y)$

We want π_1 to "project onto the first element of an ordered pair," so we check $\pi_1 \langle M, N \rangle =_\beta M$:

$$\begin{aligned} \pi_1 \langle M, N \rangle &= (\lambda p. p (\lambda x y. x)) (\lambda x. x M N) \\ &=_\beta (p (\lambda x y. x)) [p := \lambda x. x M N] \quad \text{i)} \end{aligned}$$

$$\begin{aligned}
 &= (\lambda x. x M N) (\lambda x y. x) \\
 &= \beta (x M N) [x := \lambda x y. x] \quad i) \\
 \text{Recall that } \rightarrow &= ((\lambda x y. x) M) N \\
 \text{PQR abbreviates } &= \beta ((\lambda y. x) [x := M]) N \quad i) \\
 \text{(PQR)} &= (\lambda y. M) N \\
 \text{Recall that } &= \beta M [y := N] \quad i) \\
 \lambda x y. P \text{ abbrev. } &= M \\
 \lambda x (\lambda y. P) &= M
 \end{aligned}$$

can assume y does not occur in M (otherwise, replace y in π_1 with a variable not in M)

Similarly, $\pi_2 \langle M, N \rangle = \beta N$.

We can also encode "true", and "false" (see and "if - then - else -" (see p19).

② Recursive Functions

Definition:

The initial functions are zero, successor and projections

$$\begin{aligned}
 0: \mathbb{N} &\rightarrow \mathbb{N} & S: \mathbb{N} &\rightarrow \mathbb{N} & \{ p_k^m: \mathbb{N}^m &\rightarrow \mathbb{N} \\
 a &\mapsto 0 & a &\mapsto a+1 & (a_1, \dots, a_m) &\mapsto a_k
 \end{aligned}$$

Note that I define $\mathbb{N} = \{0, 1, 2, \dots\}$.

Definition:

Let $g: \mathbb{N}^m \rightarrow \mathbb{N}$, $h: \mathbb{N}^{m+2} \rightarrow \mathbb{N}$ be partial functions. The partial function $f: \mathbb{N}^{m+1} \rightarrow \mathbb{N}$ is defined from g and h by primitive recursion if, for all $a_1, \dots, a_m, n \in \mathbb{N}$,

$$f(0, a_1, \dots, a_m) = g(a_1, \dots, a_m)$$

$$f(n+1, a_1, \dots, a_m) = h(f(n, a_1, \dots, a_m), n, a_1, \dots, a_m)$$

It is understood that the left-hand side of each equation is defined if and only if the right-hand side is.

Definition:

Let $g: \mathbb{N}^{m+1} \rightarrow \mathbb{N}$ be a partial function. The partial function $f: \mathbb{N}^m \rightarrow \mathbb{N}$ is defined from g by minimization if

$$f(a_1, \dots, a_m) = \begin{cases} n & \text{if } n \text{ is the least } n \in \mathbb{N} \text{ such that } g(a_1, \dots, a_m, n) = 0 \\ & \text{and } g(a_1, \dots, a_m, n') \neq 0 \\ & \text{for all } n' = 0, \dots, n-1 \\ \text{undefined} & \text{if there is no such } n. \end{cases}$$

Definition:

The class of **partial recursive functions** is the smallest class of partial functions containing the initial functions and is closed under composition, primitive recursion and minimisation.

The class of **primitive recursive functions** is the smallest class of (total) functions containing the initial functions and is closed under composition and primitive recursion.

Here, composition has the usual meaning.

③ Church Numerals and λ -Definability

~~For~~ To encode ~~the~~ recursive functions in the λ -calculus, we first need to be able to encode numbers.

For variables f, x , $f^n(x)$ abbreviates $\underbrace{f(f(\dots(fx)\dots))}_{n \text{ times}}$

** Definition: (Another of the key definitions)

The n^{th} Church numeral is $c_n = \underline{n} = \lambda f x. f^n(x)$

Examples:

$$\underline{0} = \lambda f x. x$$

$$\underline{1} = \lambda f x. fx$$

$$\underline{2} = \lambda f x. f(fx)$$

Definition:

A partial function $f: N^m \rightarrow N$ is λ -definable if there is a λ -term F such that

- i) If $f(a_1, \dots, a_m) = n$ then $F a_1 \dots a_m =_{\beta} n$
 - ii) If $f(a_1, \dots, a_m)$ is undefined then $F a_1 \dots a_m$ has no normal form.
- The λ -term F defines f .

We don't need the definition of normal form for today, because all of our functions will be total. See p10 for the definition.

Examples:

- 1) Zero = $\lambda m. 0$ defines $0: a \mapsto 0$

$$\underline{\text{Zero}} \underline{a} = (\lambda m. 0) \underline{a}$$

$$=_{\beta} 0 [m := a]$$

$$= 0$$

because m doesn't occur in 0 .

- 2) P₂³ = $\lambda x_1 x_2 x_3. x_2$ defines $p_2^3: N^3 \rightarrow N$

$$(a_1, a_2, a_3) \mapsto a_2$$

$$P_2^3 \underline{a_1} \underline{a_2} \underline{a_3} = (\lambda x_1 x_2 x_3. x_2) \underline{a_1} \underline{a_2} \underline{a_3}$$

$$=_{\beta} ((\lambda x_2 x_3. x_2) [\underline{x_1} := \underline{a_1}]) \underline{a_2} \underline{a_3}$$

$$= (\lambda x_2 x_3. x_2) \underline{a_2} \underline{a_3}$$

$$=_{\beta} (\lambda x_3. x_2) [\underline{x_2} := \underline{a_2}] \underline{a_3}$$

$$= (\lambda x_3. \underline{a_2}) \underline{a_3}$$

$$=_{\beta} \underline{a_2}$$

because x_3 doesn't occur in $\underline{a_2}$

- 3) P_k^m = $\lambda x_1 \dots x_m. x_k$ defines $p_k^m: N^m \rightarrow N$, where $k \leq m$.

- 4) succ = $\lambda f x. f(\lambda f x. f(\lambda f x. f(\dots (f x) \dots)))$ defines the successor function.

$$\underline{\text{succ}} \underline{a} = (\lambda f x. f(\lambda f x. f(\lambda f x. f(\dots (f x) \dots)))) \underline{a}$$

$$=_{\beta} \lambda f x. f(\underline{a} f x)$$

$$= \lambda f x. f(\underbrace{(\lambda g y. g(g(\dots (g y) \dots)))}_{a \text{ times}}) f x)$$

a times

$$\begin{aligned}
&=_{\beta} \lambda f x. f ((\lambda y. f (f (\dots (f y) \dots))) x) \\
&= \lambda f x. \underbrace{f (f (\dots (f x) \dots))}_{a+1 \text{ times}} \\
&= \lambda f x. f^{a+1}(x) \\
&= \underline{a+1}.
\end{aligned}$$

5) add = $\lambda m n f x. m f (n f x)$ defines addition

$$\begin{aligned}
&(\lambda m n f x. m f (n f x)) \underline{a} \underline{b} \\
&=_{\beta} (\lambda n f x. \underline{a} f (n f x)) \underline{b} \\
&=_{\beta} \lambda f x. \underline{a} f (\underline{b} f x) \\
&=_{\beta} \lambda f x. (\underline{a} f) (f^{\underline{b}}(x)) \quad \underline{b} f x =_{\beta} f^{\underline{b}}(x) \text{ by in succ} \\
&= \lambda f x. (\lambda g y. g^{\underline{a}}(y)) f (f^{\underline{b}}(x)) \\
&=_{\beta} \lambda f x. (\lambda y. f^{\underline{a}}(y)) (f^{\underline{b}}(x)) \\
&=_{\beta} \lambda f x. f^{\underline{a}}(f^{\underline{b}}(x)) \\
&= \lambda f x. f^{\underline{a+b}}(x) \\
&= \underline{a+b}
\end{aligned}$$

④ Proposition 1.7.4 and Theorem 1.7.5

Now we prove that all partial recursive functions are λ -definable.

Proposition 1.7.4:

All primitive recursive functions are λ -definable.

Proof:

Induce on the construction of the functions. We've seen that all of the initial functions are λ -definable.

Composition Idea: Let $f: \mathbb{N}^2 \rightarrow \mathbb{N}$ and $g_1, g_2: \mathbb{N} \rightarrow \mathbb{N}$ be (total) functions. Suppose F defines f and G_i defines g_i .

Claim: $\lambda x_1. F(G_1 x_1)(G_2 x_1)$ defines the composite of f with g_1 and g_2 .

$$(\lambda x. F(G_1 x)(G_2 x)) \underline{a} =_{\beta} F(G_1 \underline{a})(G_2 \underline{a})$$

$$\begin{aligned}
 &=_{\beta} F g_1(a) g_2(a) && \text{by hypothesis on } G \\
 &=_{\beta} f(g_1(a), g_2(a)) && \text{by hypothesis on } F
 \end{aligned}$$

Primitive recursion:

Suppose $f: \mathbb{N}^{m+1} \rightarrow \mathbb{N}$ is defined from $g: \mathbb{N}^m \rightarrow \mathbb{N}$, $h: \mathbb{N}^{m+2} \rightarrow \mathbb{N}$ by primitive recursion, by the equations

$$f(0, a_1, \dots, a_m) = g(a_1, \dots, a_m)$$

$$f(n+1, a_1, \dots, a_m) = h(f(n, a_1, \dots, a_m), n, a_1, \dots, a_m)$$

Suppose G, H define g, h respectively. Let

$$\text{Init} = \langle 0, G x_1 \dots x_m \rangle$$

$$\text{Step} = \lambda p. \langle \text{succ}(\pi_1 p), H(\pi_2 p)(\pi_1 p) x_1 \dots x_m \rangle$$

Claim: $F = \lambda x_1 \dots x_m. \pi_2 (\lambda x. \text{Step Init})$ defines f .

Induce on the first argument of F .

$$\begin{aligned}
 F 0 &=_{\beta} \lambda x_1 \dots x_m. \pi_2 (0 \text{ Step Init}) \\
 &= \lambda x_1 \dots x_m. \pi_2 ((\lambda f x. x) \text{ Step Init}) \\
 &=_{\beta} \lambda x_1 \dots x_m. \pi_2 ((\lambda x. x) \text{ Init}) \\
 &=_{\beta} \lambda x_1 \dots x_m. \pi_2 \text{ Init} \\
 &=_{\beta} \lambda x_1 \dots x_m. G x_1 \dots x_m
 \end{aligned}$$

$$\begin{aligned}
 \text{Hence } F 0 a_1 \dots a_m &=_{\beta} (\lambda x_1 \dots x_m. G x_1 \dots x_m) a_1 a_2 \dots a_m \\
 &=_{\beta} G a_1 \dots a_m \\
 &=_{\beta} g(a_1, \dots, a_m) \\
 &= f(0, a_1, \dots, a_m)
 \end{aligned}$$

Suppose $F n a_1 \dots a_m =_{\beta} f(n, a_1, \dots, a_m)$

$$\begin{aligned}
 F n+1 &=_{\beta} \lambda x_1 \dots x_m. \pi_2 (n+1 \text{ Step Init}) \\
 &=_{\beta} \lambda x_1 \dots x_m. \pi_2 (\text{succ } n \text{ Step Init}) && \text{because } \text{succ } n =_{\beta} n+1 \\
 &= \lambda x_1 \dots x_m. \pi_2 ((\lambda y f x. f(y f x)) n \text{ Step Init}) \\
 &=_{\beta} \lambda x_1 \dots x_m. \pi_2 ((\lambda f x. f(n f x)) \text{ Step Init}) \\
 &=_{\beta} \lambda x_1 \dots x_m. \pi_2 ((\lambda x. \text{Step } (n \text{ Step } x)) \text{ Init}) \\
 &=_{\beta} \lambda x_1 \dots x_m. \pi_2 (\text{Step } (n \text{ Step Init}))
 \end{aligned}$$

Now, by definition, $\pi_2 (\text{Step } (n \text{ Step Init}))$

$$=_{\beta} \pi_2 \langle \text{succ}(\pi_1 (n \text{ Step Init})), H(\pi_2 (n \text{ Step Init}))(\pi_1 (n \text{ Step Init})) x_1 \dots x_m \rangle$$

$$=_{\beta} H(\pi_2(\underline{n \text{ Step Init}}))(\pi_1(\underline{n \text{ Step Init}}))x_1 \dots x_m$$

Observe that $\text{succ}(\pi_1, \text{init}) =_{\beta} \text{succ } 0 =_{\beta} 1$, so

$$\underline{\text{Step Init}} =_{\beta} \langle 1, \text{some 1-term} \rangle$$

Think of $\underline{n \text{ Step Init}}$ as applying $\underline{\text{Step}}$ to $\underline{\text{Init}}$ n times, so $\pi_1(\underline{n \text{ Step Init}}) =_{\beta} n$ (can prove this by induction on n).

$$\begin{aligned} \text{Hence } F_{n+1} a_1 \dots a_m &=_{\beta} (\lambda x_1 \dots x_m. H(\pi_2(\underline{n \text{ Step Init}})) n x_1 \dots x_m) a_1 \dots a_m \\ &=_{\beta} H(\pi_2(\underline{n \text{ Step}}|_{x_i := a_i} \underline{\text{Init}}|_{x_i := a_i})) n a_1 \dots a_m \end{aligned}$$

Here $\underline{\text{Step}}|_{x_i := a_i}$ denotes $\underline{\text{Step}}$ with every occurrence of x_i replaced with a_i , and similarly for $\underline{\text{Init}}|_{x_i := a_i}$.

Now, by the inductive hypothesis,

$$\begin{aligned} f(n, a_1, \dots, a_m) &=_{\beta} F n a_1 \dots a_m \\ &= (\lambda x_1 \dots x_m. \pi_2(\underline{x \text{ Step Init}})) n a_1 \dots a_m \\ &=_{\beta} \pi_2(\underline{n \text{ Step}}|_{x_i := a_i} \underline{\text{Init}}|_{x_i := a_i}) \end{aligned}$$

$$\begin{aligned} \text{Hence } F_{n+1} a_1 \dots a_m &=_{\beta} H f(n, a_1, \dots, a_m), n a_1 \dots a_m \\ &=_{\beta} h(f(n, a_1, \dots, a_m), n, a_1, \dots, a_m) \\ &= f(n+1, a_1, \dots, a_m) \end{aligned}$$

□

Theorem 1.7.5:

All partial recursive functions are 1-definable.

Proof:

See L.C.H. I p 21.

□